



PTO/SB/21 (09-04)  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

2131

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	9	Application Number	10/522,509
		Filing Date	January 26, 2005
		First Named Inventor	Jimmy Christian Hotz
		Art Unit	2131
		Examiner Name	Sheikh, Ayaz R.
		Attorney Docket Number	036767-010

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request to Correct Filing Receipt; Patent Practitioners to be Made of Record; Copies of supporting documents: Filing Receipt and PCT Power of Attorney; Postcard.
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	THELEN REID & PRIEST LLP.		
Signature			
Printed Name	Masako Ando		
Date	11/30/05	Reg. No.	Ltd. Rec. No. L0016

## CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Geneva Walls	Date	11/30/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Docket No.: XSTRM-0001  
(036767-010)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jimmy Christian Hotz  
SERIAL NO.: 10/522,509  
FILING DATE: January 26, 2005  
TITLE: Apparatus And Method For Encryption And Decryption  
EXAMINER: Sheikh, Ayaz R.  
ART UNIT: 2131

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Geneva Walls

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Alexandria, VA 22313-1450

**REQUEST TO CORRECT FILING RECEIPT**

We received the attached filing receipt for the above-identified case. It incorrectly identifies "none" as the Power of Attorney. A power of attorney (attached) was originally submitted on January 26, 2005. Additionally we submit a "Patent Practitioners to be made of Record" to limit those identified to ten or fewer practitioners.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: 11/30/05

Masako Ando

Masako Ando  
Ltd. Rec. No. L0016

THELEN REID & PRIEST LLP  
P.O. Box 640640  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jimmy Christian Hotz  
SERIAL NO.: 10/522,509  
FILING DATE: January 26, 2005  
TITLE: Apparatus And Method For Encryption And Decryption  
EXAMINER: Sheikh, Ayaz R.  
ART UNIT: 2131

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Date: 11-30-05 Signature: Geneva Walls  
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PATENT PRACTITIONERS TO BE MADE OF RECORD

Please recognize the following Nine patent practitioners in the attached Revocation and  
Power of Attorney as being of record in the application or patent to which the power of attorney  
is directed:

David B. Ritchie, Reg. No. 31,562  
Marc S. Hanish, Reg. No. 42,626  
John P. Schaub, Reg. No. 42,125  
Masako Ando, Ltd. Rec. No. L0016  
Steve Robbins, Reg. No. 40,299

Robert E. Krebs, Reg. No. 25,885  
Khaled Shami, Reg. No. 38,745  
Hal J. Bohner, Reg. No. 27,856  
Samuel W. Niece, Reg. No. 47,824

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: 11/30/05



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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/522,509	01/26/2005	2131	2125	XSTRM-0001	19	59	10

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MAY 19 2005

THELEN REID &amp; PRIEST LLP

CONFIRMATION NO. 3468

FILING RECEIPT



Date Mailed: 05/16/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jimmy Christian Hotz, Thousand Oaks,, CA;

Power of Attorney: ~~None~~ See Patent Practitioners List

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/23473 07/25/2003  
which claims benefit of 60/399,092 07/27/2002

## Foreign Applications

Projected Publication Date: 08/25/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Reviewed By: CL Date: 5/23/05  
No Action Required:                       
Action Required:                     

## Title

Apparatus and method for encryption and decryption

Mail log                      Date                     CPI                      Date                     

MAY 20 2005

Excel                      Date

Preliminary Class

380

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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## PCT POWER OF ATTORNEY

Printed on 21.07.2003 02:06:09 PM



COPY

0-1	PCT Power of Attorney (for an international application filed under the Patent Cooperation Treaty) (PCT Rule 90.4)	
0-1-1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)
1	The undersigned applicant(s)	HOTZ, Jimmy Christian,
1-1-1	hereby appoints (appoint) the following person	RITCHIE, David, B.; KREBS,, Robert E.,; BOHNER, Hal; GILMER, Davis; HANISH, Marc, S.; LO, Thierry; NIECE, William, S.; ROBBINS, Steve; SCHAUB, John, P.; WINTERS, William; YEUNG, Adrienne; ANDO, Masako, THELEN REID & PRIEST LLP P.O. BOX 640640 San Jose, CA 95164-0640 United States of America
1-2	as	agent
1-3	to represent the undersigned before	all the competent International Authorities
1-4	In connection with the international application identified below:	
1-4-1	Title of the invention	APPARATUS AND METHOD FOR ENCTYPTION AND DECRYPTION
1-4-2	Applicant's or agent's file reference	JHOTZ-0001WO
1-4-3	International application number (if already available)	
1-4-4	filed with the following Office as receiving Office	United States Patent and Trademark Office (USPTO) (RO/US)
1-5	and to make or receive payments on behalf of the undersigned.	
2-1	Signature of applicant	
2-1-1	Name	HOTZ, Jimmy Christian, <i>[Signature]</i>
3	Date	21 July 2003 (21.07.2003)

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**PCT REQUEST**


JHOTZ-0001WO

Original (for SUBMISSION) - printed on 25.07.2003 03:19:25 PM

VIII-4-1	<p><b>Declaration: Inventorship (only for the purposes of the designation of the United States of America)</b> Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1 -1	Prior applications:	60/399,092, US, 27 July 2002 (27.07.2002)

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		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1 -1-1	Name:	HOTZ , Jimmy Christian,
VIII-4-1 -1-2	Residence: (city and either US State, if applicable, or country)	Thousand Oaks, California
VIII-4-1 -1-3	Mailing address:	3094 Fort Courage Avenue
VIII-4-1 -1-4	Citizenship:	US
VIII-4-1 -1-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	X 
VIII-4-1 -1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	X 23 July, 2003 (23.07.2003)

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